



PATENT
Attorney Docket No. CTX-071
(1545/124)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Yang et al.
SERIAL NO.: 09/866,375 GROUP NO.: Not Yet Assigned
FILING DATE: May 25, 2001 EXAMINER: Not Yet Assigned
TITLE: Remote Control of a Client's Off-Screen Surface

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**

- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that **[check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]**:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

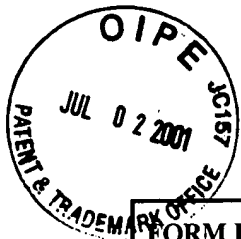
Respectfully submitted,



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| FORM PTO - 1449 INFORMATION DISCLOSURE STATEMENT | ATTORNEY DOCKET NO.: CTX-071 (1545/124) APPLICANT(S): Yang et al. SERIAL NO.: 09/866,375 FILING DATE: May 25, 2001, GROUP: To Be Assigned |
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| EXAM. INIT. | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
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| | A1 | 3,596,257 | 7/27/71 | Patel et al. | 340 | 172.5 | 9/17/69 |
| | A2 | 4,013,828 | 3/22/77 | Judice | 358 | 133 | 2/20/76 |
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| | A15 | 4,807,029 | 2/21/89 | Tanaka | 358 | 133 | 6/16/87 |
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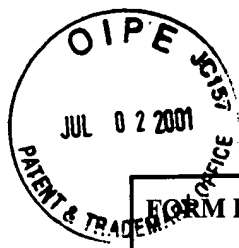
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| FORM PTO - 1449 INFORMATION DISCLOSURE STATEMENT | ATTORNEY DOCKET NO.: CTX-071 (1545/124) APPLICANT(S): Yang et al. SERIAL NO.: 09/866,375 FILING DATE: May 25, 2001, GROUP: To Be Assigned |
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FORM PTO - 1449

INFORMATION DISCLOSURE
STATEMENT

ATTORNEY DOCKET NO.: CTX-071 (1545/124)

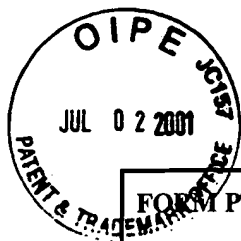
APPLICANT(S): Yang et al.

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| | A102 | 6,057,857 | 5/2/00 | Bloomfield | 345 | 441 | 06/12/96 |
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| EXAM. INIT. | | DOCUMENT NUMBER | DATE | COUNTRY CODE | CLASS | SUB CLASS | FILING DATE | ABSTRACT ONLY | ENGLISH LANG (Y/N) |
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| | B1 | 0283735 A2 | 9/28/88 | EP | 7 | 30 | 2/22/88 | N | Y |
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| | B14 | 97/28623 | 8/7/97 | WO | 9 | 455 | 1/15/97 | Y | Y |

OTHER ART, JOURNAL ARTICLES, ETC.

| EXAM. INIT. | OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication) |
|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| C1 | <i>Patents on data compression algorithms</i> ; available web site: www.iapr-ic.dimi.uniud.it ; accessed on: March 31, 1998; pages 1-10. |



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| FORM PTO - 1449 | | ATTORNEY DOCKET NO.: CTX-071 (1545/124) |
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| | | SERIAL NO.: 09/866,375 |
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| OTHER ART, JOURNAL ARTICLES, ETC. | | |
| | C2 | "The Norton Utilities Version 5.0 Disk Explorer", Copyright © 1990 Peter Norton Computing, Inc.; (pgs. 28-54); Santa Monica, CA |
| | C3 | "Word Based Data Compression Schemes" by Bar-Ness & Peckham, © 1989 (pgs. 300-303), The Center for Communication and Signal Processing Research Department of Electrical Engineering, NJIT Newark, NJ |
| | C4 | "A New Source Coding Method Based on LZW Adoptind the Least Recently Used Deletion Heuristic" by Hayashi, Kubo, Yamazoto & Sasase; (pgs. 190-193); May 19, 1993; University of Victoria |
| | C5 | Murray, et al., "1.5 Move-to-Front Coding", 4 pp. 16-19, 1994. |
| | C6 | "Creating a Wider Bus Using Caching Techniques" by Citron & Rudolph; Institute of Computer Science, Hebrew University; Jerusalem, Israel; © 1995 IEEE |
| | C7 | WebTV, "WebTV System Guide", http://developer.webtv.net/authoring/sysgde/sysgde.html , 9/13/00, pgs. 1-12 |
| | C8 | IBM Technical Disclosure Bulletin, "Mechanisms for Finding Substitute Fonts in a Conference-Enabled X Windows Application", Vol. 41, No. 01, Jan. 1998 pgs. 137-142. |
| | C9 | IBM Technical Disclosure Bulletin, "Remote Desktop Environments Reflected in Local Desktop Windows", Vol. 36 No. 3, March 1993, pgs. 421-426 |
| EXAMINER | | DATE CONSIDERED |